

**The International Intercomparison of 3D Radiation Codes (I3RC):  
Bringing together the most advanced radiative transfer tools for cloudy  
atmospheres**

*Robert F. Cahalan<sup>1</sup>, Lazaros Oreopoulos<sup>2,1</sup>, Alexander Marshak<sup>1,2</sup>, K.  
Franklin Evans<sup>3</sup>, Anthony B. Davis<sup>4</sup>, Robert Pincus<sup>5</sup>, Ken H. Yetzer<sup>6,1</sup>,  
Bernhard Mayer<sup>7</sup>, Roger Davies<sup>8</sup>, Thomas P. Ackerman<sup>9</sup>, Howard W.  
Barker<sup>10</sup>, Eugene E. Clothiaux<sup>11</sup>, Robert G. Ellingson<sup>12</sup>, Michael J. Garay<sup>13</sup>,  
Evgueni Kassianov<sup>9</sup>, Stefan Kinne<sup>14</sup>, Andreas Macke<sup>15</sup>, William O'Hirok<sup>16</sup>,  
Philip T. Partain<sup>17</sup>, Sergei M. Prigarin<sup>18</sup>, Alexei N. Rublev<sup>19</sup>, Graeme L.  
Stephens<sup>17</sup>, Frederic Szczap<sup>20</sup>, Ezra E. Takara<sup>12</sup>, Tamas Várnai<sup>2,1</sup>, Guoyong  
Wen<sup>2,1</sup>, Tatiana B. Zhuravleva<sup>21</sup>*

Accepted for the Bulletin of the American Meteorological Society

*Corresponding author:* Robert F. Cahalan, NASA GSFC, Code 613.2, Greenbelt, MD,  
20771 USA. Robert.F.Cahalan@nasa.gov, (301) 614-5390.

1. NASA Goddard Space Flight Center, Greenbelt, Maryland, USA
2. University of Maryland Baltimore County, Baltimore, Maryland, USA
3. University of Colorado, Boulder Colorado, USA
4. Los Alamos National Laboratory, Los Alamos, New Mexico, USA
5. NOAA-CIRES Climate Diagnostic Center, Boulder, Colorado, USA
6. Raytheon-ITSS, Beltsville, Maryland, USA
7. Deutsches Zentrum für Luft und Raumfahrt (DLR), Oberpfaffenhofen, Germany
8. Jet Propulsion Laboratory and California Institute of Technology, Pasadena, California, USA
9. Pacific Northwest National Laboratory, Richland, Washington, USA
10. Meteorological Service of Canada, Downsview, Ontario, Canada
11. The Pennsylvania State University, University Park, Pennsylvania, USA
12. Florida State University, Tallahassee, Florida, USA
13. University of California Los Angeles, Los Angeles, California, USA
14. Max-Planck Institute für Meteorologie, Hamburg, Germany
15. Leibniz-Institute for Marine Sciences IFM-GEOMAR at the University of Kiel, Germany
16. University of California Santa Barbara, Santa Barbara, California, USA
17. Colorado State University, Fort Collins, Colorado, USA
18. Institute of Computational Mathematics and Mathematical Geophysics, Novosibirsk, Russia
19. Kurchatov Institute, Moscow, Russia
20. Université Blaise Pascal, Clermont-Ferrand, France
21. Institute of Atmospheric Optics, Tomsk, Russia

## **Abstract**

The interaction of clouds with solar and terrestrial radiation is one of the most important topics of climate research. In recent years it has been recognized that only full three-dimensional (3D) treatment of this interaction can provide answers to many climate and remote sensing problems, leading to worldwide development of numerous 3D radiative transfer (RT) codes. The international “Intercomparison of 3-Dimensional Radiation Codes”, or I3RC, described in this paper, sprung from the natural need to compare the performance of these 3D RT codes used in a variety of current scientific work in the atmospheric sciences. I3RC supports intercomparison and development of both exact and approximate 3D methods in its effort to (1) understand and document the errors/limits of 3D algorithms and their sources; (2) provide “baseline” cases for future code development for 3D radiation; (3) promote sharing and production of 3D radiative tools; (4) derive guidelines for 3D radiative tool selection; and (5) improve atmospheric science education in 3D RT. Results from the two completed phases of I3RC have been presented in two workshops and are expected to guide improvements in both remote sensing and radiative energy budget calculations in cloudy atmospheres.

**Capsule:** An international Intercomparison of 3D Radiation Codes (I3RC) underscores the vast progress of recent years, but also highlights the challenges ahead for routine implementation in remote sensing and global climate modeling applications.

**M**odeling atmospheric and oceanic processes is one of the most important methods of the Earth Sciences for understanding the interactions of the various components of the surface-atmosphere system and predicting future weather and climate states. Great leaps in the availability of computing power at continuously decreasing costs have led to widespread popularity of computer models for research and operational applications. As part of routine scientific work, output from models built for similar purposes is continuously being compared by compiling results scattered in the scientific literature. In the last few years, however, intercomparison efforts have also emerged in the form of centrally-directed initiatives. Intercomparison of various aspects of atmospheric Global Climate Models (GCMs<sup>1</sup>) is a good example of this (e.g., Cess, 1990), but numerical codes focused on more specific atmospheric phenomena like Cloud System Resolving Models (CSRMs) and Large Eddy Simulation (LES) models which simulate cloud life cycles have also been compared (Moeng et al., 1996). In the field of radiative transfer (RT) for climate and remote sensing applications, the most prominent intercomparison projects of the last few years were the Intercomparison of Radiation Codes for Climate Models (ICRCCM) (Ellingson et al., 1991; Barker et al., 2003) and the Radiation Transfer Model Intercomparison (RAMI) (Pinty et al., 2001; 2004). RAMI actually shares a unique feature with the subject of this paper, the international Intercomparison of 3D Radiation Codes (I3RC): it focuses on three-dimensional (3D) RT. While RAMI examines how solar radiation interacts with vegetated surfaces, I3RC studies how solar and thermal radiation interact with cloudy atmospheres.

3D RT research in atmospheric sciences began in earnest with work in the early 1970's in the former Soviet Union (e.g., Mullamaa et al, 1972; Avaste and Vainikko, 1974; Glasov and Titov, 1975), expanded in the USA soon thereafter (e.g., McKee and Cox, 1974; Davies, 1978),

---

<sup>1</sup> A full list of acronyms is provided in the Appendix.

and eventually appeared in atmospheric RT monographs (e.g., Lenoble, 1985). Presently, it is considered an independent and mature research area. Current 3D RT investigations in cloudy atmospheres can be broadly divided in two major application areas: (1) remote sensing; and (2) radiative energy budgets.

Accurate remote sensing of cloud properties is largely driven by the desire of modelers to adequately represent them in GCMs since they play a major role in climate dynamics (e.g., Ramanathan et al., 1989; Fu et al., 1995; Kiehl and Trenberth, 1997). The atmospheric and planetary science communities have known for a long time that the remote sensing of cloud properties using current one-dimensional (1D) RT is suspect because clouds are not 1D, but 3D, and the horizontal exchange of photons between different parts of a cloud or between clouds cannot be accounted for by 1D theory. That 3D RT effects are indeed omnipresent in cloud observations from space has been well documented since the Landsat satellite era (e.g., Wielicki and Welch, 1986). The significant errors in 1D or "plane-parallel" cloud retrievals have been quantified using increasingly realistic models of 3D cloud structure (e.g., Cahalan, 1989; Chambers et al., 1997; Várnai and Marshak, 2002), but accounting for 3D effects in an operational environment is a goal that has yet to be accomplished.

The cloud and climate modeling community is further ahead of its remote sensing counterpart in incorporating the advances of 3D RT into its representation of radiative processes. This is not only because a forward problem is almost always more tractable than an inverse problem, but also because GCMs are only interested in large-scale averages of angularly-integrated radiation fields—i.e., fluxes of radiation at the boundaries of atmospheric columns, and the vertical rates of flux change within atmospheric columns, which relate directly to internal heating rates. Such coarse radiation fields are faster to calculate and less error-prone than the

angularly and spatially detailed radiances of interest in remote sensing (i.e., “pencils” of radiation measured by satellite radiometers). Despite this advantage, there is still much room for improvement in the way GCMs handle unresolved cloud variability within grid-cells at both solar and thermal wavelengths.

The 1D Independent Column Approximation (ICA) is presently the most popular framework for improving GCM parameterizations of broadband (spectrally-integrated) RT. ICA resolves subgrid variability by averaging results for individual vertical columns, but does not allow for radiation to be exchanged between columns. Its popularity and usefulness stems from the fact that, for many different cloud types and conditions, it gives domain-average results that are close to the full 3D results (e.g., Cahalan et al., 1994; Barker et al., 1998; Barker et al., 1999). However, as new modeling breakthroughs such as the Multiscale Modeling Framework (MMF) or “superparameterization” (Randall et al., 2003) make explicit representation of subgrid cloudiness on a global scale a reality, it is no longer obvious that certain aspects of 3D RT can be safely ignored. In other words, MMF cloud fields may be so highly resolved ( $\sim 1\text{km}$ ) in the near future that errors associated with the neglect of radiative interactions between cloudy columns will become blatantly obvious.

The goal of I3RC is to promote the improvement of algorithms used for all kinds of 3D RT processes in cloudy atmospheres. Activities include not only comparisons of results from state-of-the-art 3D RT codes, but also development of fast approximations more suitable for climate applications, and community “open source” codes that distill the best current knowledge on how to treat the various interactions of ultraviolet, visible, and infrared photons with atmospheric constituents. As such tools become standard in RT educational training, I3RC will benefit practitioners of atmospheric RT in both the modeling (GCM, CSRs, LES, etc.) and the

observational (e.g., remote sensing) communities. Beyond the principal goals of I3RC which revolve around documentation of errors and limitations of 3D methods, sharing and development of 3D tools, and atmospheric science education in 3D RT, the project also aspires to a series of related specific goals that will:

- delineate acceptable error tolerance for radiances which are the cornerstone of remote sensing from space.
- contribute to error detection and improvement in the participating codes.
- reveal requirements for future generation surface cloud-probing instruments.
- guide the development of techniques that produce or predict sub-resolution variability.
- generate momentum for continuing and expanding observational and modeling efforts that analyze and forecast three-dimensional cloud fields.

I3RC is proceeding in 3 phases. The first two phases have been largely completed, and will be further discussed in the sections that follow. Two workshops, hosted by the University of Arizona, have taken place to discuss the results and lessons learned from each phase, and a website dedicated to I3RC has been created. Phase 3 is currently underway with a third workshop, hosted by the University of Kiel, scheduled to take place in October 2005, in Kiel, Germany. It uses 3D cloud fields reconstructed from combined simultaneous observations from instruments aboard the Terra satellite (potentially including ASTER, MISR and MODIS) and emphasizes improving, extending, and sharing RT modules, aided by two working groups. The “Approximations” working group, led by Anthony Davis, considers deterministic approximate methods in an attempt to gain advantages in execution time, and also to advance the understanding of 3D radiation processes for eventual implementation of these algorithms into other models. The "Open Source" working group, led by Robert Pincus, is developing a Monte

Carlo RT code that will be distributed publicly, thus making a state-of-the-art tool available to a wide range of users. Activities of both working groups are further elucidated in two subsequent sections.

## **SIDEBAR**

**TODAY'S DOMINANT 3D RT TOOLS.** Here we briefly present the two 3D RT tools that are currently dominating atmospheric radiation applications, namely the Spherical Harmonic Discrete Ordinate Method (SHDOM) of Evans (1998) and the Monte Carlo (MC) method (Marchuk et al., 1980). These two methods (both thoroughly described in Marshak and Davis, 2005) while being completely different in their approach for solving the 3D RT problem, are currently the only available options for dealing with the full suite of problems put forth by I3RC.

SHDOM is the most widely used explicit multi-dimensional RT model in the atmospheric sciences. This is because it is efficient, flexible, user friendly, and publicly available. SHDOM computes unpolarized monochromatic or spectral band RT in a one, two, or three-dimensional medium for either collimated (i.e., non-diffuse) solar and/or thermal emission sources of radiation. The optical properties of the medium can be specified completely generally. Radiances at any angle, hemispheric fluxes, net fluxes, mean radiances, and net flux convergence (related to heating rates) may be output anywhere in the domain. SHDOM uses an iterative process to compute the source function term of the RTE on a grid of points in space. The angular part of the source function is represented with a spherical harmonic expansion. Solving for the source function instead of the radiance field saves memory, because there are often parts of a medium where the source function is zero or angularly very smooth (hence few spherical harmonic terms). The other reason for using spherical harmonics is that the scattering integral is more



efficiently computed than in discrete ordinates. A discrete ordinate representation is used in the solution process because the streaming of radiation is more physically (and correctly) computed this way. An adaptive grid that chooses how to distribute grid points in space is useful in atmospheric RT because the source function is usually rapidly varying in some regions and slowly varying in others. When many radiative quantities are desired, e.g., the radiance field across the domain top or the 3D distribution of heating, SHDOM is superior and faster than MC RT methods (described below), but its errors are harder to understand.

MC methods are a general technique for constructing probabilistic models of real processes. In contrast to SHDOM which solves the RTE explicitly, MC solves the same RTE statistically using probabilistic modeling of the associated RT processes. In its application to RT in the atmosphere, MC computes the flow of radiation by simulating the trajectories of photons emitted from a source, such as the sun for shortwave radiation, or surface and cloud elements for longwave radiation. The trajectories are determined probabilistically: the distance a photon travels before interaction with a scatterer, the probability that it survives a scattering event, and the direction of scattering after each interaction, are calculated by generating random numbers that provide probabilistic representations of the optical properties of the atmosphere.

MC methods are valuable because they are exceedingly flexible and because their accuracy is well understood and can be predicted by examining the variance between estimates made from subsets of the simulation. MC may also be superior to SHDOM for media with strong extinction gradients and/or large domains.

In “straightforward” Monte Carlo the radiative quantities of interest (fluxes, heating rates, radiances) are determined by counting the fraction of photons that meet a certain fate: domain-averaged reflectance, for example, is the fraction of photons that exit from the top of the domain.

The straightforward approach is very simple but, as I3RC has confirmed, absolutely impractical for the solution of complicated problems, such as computing the spatially-dependent radiance field above and/or below a variable patch of clouds and reflective surface. The difficulty stems from several causes, including the strongly forward-peaked scattering phase functions (functions describing the dependence of scattered radiance on scattering angle) exhibited by cloud drops, and the multiple scattering that occurs in optically dense media like clouds. The former increases the variance of the MC estimate while the latter increases the execution time of MC codes. To improve MC performance, techniques such as "maximum cross section" and "local estimate" have been implemented to simplify the codes, reduce variance, and speed up the runs. Both techniques, as well as others, are described in the Marchuk et al. (1980) monograph, which remains the single best reference on MC simulations of RT in the atmosphere.

**I3RC PHASE I.** During Phase I, now complete, several baseline 3D RT computations on three cloud fields were performed. The three cloud fields were an idealized 1D “step” cloud field, a 2D field derived from the ARM cloud radar, and a 3D field derived from radiances measured by the Landsat-4 Thematic Mapper instrument (Fig. 1). In November 1999, participating members of 18 research groups, representing several countries met and compared their results for the various experiments of Phase I. The computations were monochromatic (single-wavelength, not explicitly specified), with cloud droplet scattering and absorption only (no emission). Scattering and absorption by other atmospheric constituents (gases, aerosols) were ignored to ensure that any differences originated only from the treatment of cloud-radiation interactions. Computations were completed independently and blindly (i.e., without access to the calculations of others) at the participants' home institutions. Extensive results are summarized on the I3RC web page (see

later section) at <http://i3rc.gsfc.nasa.gov>. Results for at least one experiment (although not necessarily the complete set) were provided by 22 different 3D algorithms (Table 1).

*Cloud fields, requested output, and submission strategy.* The three cloud fields selected represented a wide range of size and complexity, and therefore, computational demands. The cases were designed so that a large number of 3D modelers who were able to run at least one case would be attracted to the project, while at the same time participating models would be tested to their limits in terms of computation time and memory use.

**Case 1**, called "step-function cloud" or "square-wave cloud" was the simplest cloud field (Fig. 1, top) consisting of 32 columns (pixels) of equal width along the  $x$ -direction, the first 16 having an optical depth of 2, and the remaining having an optical depth of 18. The horizontal size of the entire field was set to 0.5 km. The geometrical thickness of the cloud (along  $z$ -axis) was set to 0.25 km everywhere (flat-top cloud). In this simple, idealized case the main interest is model behavior in the vicinity of the single isolated jump in optical thickness.

**Case 2**, called "Radar cloud" was a cloud field inferred from MMCR and MWR measurements (Fig. 1, middle). The field consists of 640 columns along the  $x$ -direction, each of which was set to 50 m horizontal width, and was vertically resolved into 54 vertical layers of 45 m thickness ( $z$ -direction).

**Case 3**, called "Landsat cloud" was a cloud field inferred from an IPA retrieval on a  $128 \times 128$  subregion of a Landsat-4 scene used in Oreopoulos and Davies (1998). The pixel size was  $(30\text{m})^2$  and cloudy pixels were assigned cloud-top heights based on atmospheric window brightness temperatures.

Case 1 and 3 experiments involved changes in illumination (sun) angle and single-scattering albedo (probability of a photon surviving after an interaction with a cloud particle),

and case 2 experiments involved changes in illumination angle, single-scattering albedo, particle scattering phase function, and surface albedo.

*Comparison methodology.* In intercomparison exercises like I3RC, where true answers are not available, absolute model accuracy is not the main focus. The objective is not to find the “best” model, but to identify and understand the spread of submitted results. GCM intercomparisons are good examples of how this objective is pursued (Cess et al., 1989; 1990; 1996). Nonetheless, when all participating models are known to use approximations to model the cloudy atmosphere, the availability of benchmark results (“truth”) from a model that does not (to the greatest degree possible) make approximations is extremely useful and instructional. Such was the case in the intercomparison of 1D GCM RT algorithms, where 3D benchmark results were available (Barker et al., 2003). I3RC, with both “exact” and “approximate” model participation, falls somewhere in the middle, and faces challenges similar to RAMI (Pinty et al., 2001; 2004). The models were intercompared using estimates of:

- Their first three moments (mean, standard deviation, skewness).
- Cross-correlations with one of the participating models (UMBC1).
- Root mean square (rms) deviations from one of the participating models (UMBC1).
- The median of the absolute deviation from one of the participating models (UMBC1).

UMBC1 was chosen as the reference code largely for reasons of convenience, since it was the code used at the home institution that directed the intercomparison (GSFC/UMBC), and could therefore be used for test runs before the experiments were publicly released; it also provided the full output dataset requested for Phase I.

*Results.* After results were intercompared at the 1999 workshop several participants revisited their calculations in order to eliminate the possibility of erroneous interpretation of input or

requested output and to reexamine the robustness of their codes. The complete Phase I results currently posted on the I3RC website are the final submissions after this second round of calculations. Here we provide two examples to give an idea of how the intercomparison was conducted and what the typical level of agreement was. Figure 2 shows results for experiment 4 of case 1 (step cloud). 18 codes participated in this experiment, but only the models that are outliers are identified. Both outliers use approximations that are geared for computation of radiative fluxes: LANL1 uses diffusion theory to approximate multiple scattering, while MESC2 is a regular MC code that uses  $\delta$ -scaling (e.g., Thomas and Stamnes, p. 190-197) of optical properties for all portions of the cloud more than a unit optical depth from the cloud top, in order to accelerate computations. All other models are barely distinguishable for all three components of the flux field (horizontal flux  $H$  is only a residual). Note that  $H$  is only different from zero in a real three-dimensional application (e.g., Marshak et al., 1999) and is therefore a good measure of the impact of cloud inhomogeneity, and of the capability of the different models to treat it correctly (due to energy conservation  $H=0$  for domain-averages even for 3D). ICA is conspicuously worse in its spatial distribution of fluxes than any of the approximate 3D methods, although it performs better for domain averages (e.g., its mean reflectance is closer to that of UMBC1 than LANL1).

Fig. 3, indicates that all participants can capture the main spatial features of radiance fields. For the MC fields (all but UCOL1) the noisiness of the field is a function of the number of photons used and the method of radiance calculation, i.e., “cone” method (e.g., Várnai and Davies, 1999) vs. “local estimate” method (e.g., Marshak et al., 1995). It should be stressed, however, that even the noisiest of the submissions manage to capture the mean radiance field quite accurately. This is demonstrated in Fig. 4, which shows the domain-average of the radiance

field of each submission. Only two fields differ by  $\approx 0.01$  ( $\approx 3.5\%$ ) from the consensus mean, while the noisiest field (UNIK) which corresponds to a code using the “cone” method, appears to be very close to the consensus mean even though it has by far the largest standard deviation. The ICA (again from DISORT) has a domain average that is very similar to that of the 3D algorithms, but its smoother field (see insert field in Fig. 4) produces a significantly lower standard deviation due to its inability to model the illumination of cloud sides under oblique sun angles, which tends to amplify radiative gradients. In the language of 3D RT practitioners, ICA cannot simulate the “radiative roughening” of the full 3D calculation (e.g., Oreopoulos et al., 2000; Várnai and Marshak, 2002). Note that this is the opposite of what happens at high sun elevations where ICA cannot simulate the “radiative smoothing” of multiple scattering in 3D, i.e., the reduced variability of the radiation field at small scales compared to that of the cloud structure, therefore yielding more variable radiation fields (Marshak et al., 1995).

**I3RC PHASE II.** In Phase II of I3RC, more complex computations for two broad application areas were compared: “remote sensing” (dealing mainly with radiances) and “heating rate” (dealing mainly with radiative fluxes and internal heating rates). The computations were performed on a stratiform and a convective cloud field, each simulated with a different LES model (Fig. 5). Other than the requirement to produce heating rates, Phase II computations were also different than those of Phase I in the sense that they included effects of gases and aerosols in some experiments, non-lambertian (anisotropically reflecting) surfaces in selected experiments, realistic remote sensing conditions (e.g., off-nadir bidirectional reflectances were requested at multiple angles), and broadband and/or thermal calculations in a few experiments. Participating groups were asked to port their code to a Linux workstation provided by NASA-GSFC. This was

meant to allow timing comparisons for a subset of the experiments, and to facilitate contributions to an "Open Source" public library for solving 3D RT problems. Results of Phase II computations can also be found at the I3RC website. Due in part to the complexity of Phase II cases, fewer codes (13) participated than in Phase I (see Table 2). The only non-MC code was SHDOM.

*Cloud fields, application areas and experiments.* Phase II consisted of two main application areas:

- Radiance fields for cloud remote sensing.
- 3D heating rate fields for cloud and GCM modeling applications.

All experiments were run for two LES model cloud fields (Fig. 5) and for two different numerical accuracies, “high” and “low” (i.e., numbers of photons for MC methods and number of discrete ordinates in SHDOM). We also evaluated the CPU time/accuracy tradeoff of the participating models for a subset of the experiments. LES model output was selected for convenience as it provides a complete 3D description of the cloud fields. The two cloud fields were: a) a *cumulus* (*Cu*) cloud field from Bjorn Stevens’s LES modeling (Stevens and Lenschow, 2001) of the GCSS continental shallow cumulus boundary layer (ARM Oklahoma site) experiment (Fig. 5, top) The cloud field consists of  $100 \times 100 \times 36$  cells with gridsize  $66.7\text{m} \times 66.7\text{m} \times 40\text{m}$ . b) a *stratocumulus* (*Sc*) cloud field from Chin-Ho Moeng’s LES modeling (Moeng et al., 1996) of FIRE-I stratocumuli, (see Fig. 5, bottom). The cloud field consists of  $64 \times 64 \times 16$  cells with gridsize  $55\text{m} \times 55\text{m} \times 25\text{m}$ .

A major difference from the comparison methodology of Phase I was the use of the consensus mean of the participating models as the benchmark (“truth”) instead of the output of a

particular model. In other respects the analysis of submitted radiation fields was carried out in a manner similar to Phase I.

*Results.* Here, we limit ourselves to only one representative example. Figure 6 shows nadir reflectance fields for experiment 7 applied to the LES cumulus cloud field. This experiment includes an absorbing and scattering atmosphere consisting of aerosols and gases above a lambertian surface, and assumes a Mie phase function for the scattering particles (spherical liquid droplets, non-absorbing for this experiment). It can be seen that only a few participants submitted results for this case (7 participants with 8 codes) suggesting that at the time, several codes did not have the capability to deal with clouds coexisting with radiatively active atmosphere. For experiment 2, which was otherwise identical to experiment 7, except for atmospheric effects, more (12) submissions were available. It was nevertheless encouraging to see that there was fairly good agreement among the models that attempted experiment 7. The level of agreement shown in Figs. 6 and 7 was typical of that for other Phase II experiments. ICA results from DISORT again illustrate the importance of 3D effects in remote sensing. Not only is the standard deviation of ICA lower than that of the 3D methods (for the reasons given in the Landsat example of Phase I), but this time the mean also deviates significantly.

**APPROXIMATIONS WORKING GROUP FOR 3D RT.** This Working Group of I3RC, emerged from a desire to make available to 3D practitioners alternative algorithms to MC and SHDOM which currently dominate as prime choices for attacking even the simplest 3D RT cloud problems. The main driver for going beyond MC and SHDOM is the need for better computational efficiency when, at the same time, only the first order effects of 3D RT are of interest.



At the time of this writing, it is clear that this effort is far less advanced (in terms of contributions to the I3RC database) than the comparison between exact methods (which is itself dominated by MC methods). This is (1) because the atmospheric RT community is relatively new to the art of approximation in computational 3D transport theory, and (2) because the challenges are considerable, while the allocated resources are still meager. It is nevertheless instructive to discuss in more detail what the working group is about, what its goals are, and how I3RC plans to achieve them.

I3RC has compiled an extensive but probably not exhaustive list of deterministic 3D RT approximation models that comply with the output requests for I3RC participation (Table 3). This evolving list of 17 candidate models is grouped into three broad classes: (a) truncated versions of 3D RTE solvers, (b) solutions of new and simpler equations derived from the 3D RTE, and (c) hybrid or even ad hoc schemes based on an understanding of 3D RT phenomenology.

Because they produce radiation fields for given extinction fields and thus can be directly compared to “exact” methods, deterministic 3D RT approximation models have a natural place in I3RC. The difference, excluding class (a), is that they numerically solve simpler sets of equations. Therefore, all classes considered, they are expected to be orders of magnitude faster than the MC and SHDOM methods of solving the full-blown 3D RTE. A well-known example is 3D diffusion theory (e.g., Davis and Marshak, 2001) which can be derived from the RTE in a variety of ways. Such derivations give insight into where the approximation should and should not work. There are two levels of accuracy to ascertain:

- The *physical* accuracy of the alternate model (how well the simplified model approximates exact RT theory).

- The *mathematical* accuracy of the implementation (how well we are numerically solving the new simplified equations).

The latter concern needs to be addressed in view of the former. For instance, it would be unwise to implement a 6th-order precision solution of the diffusion equation which is just a coarse approximation of the 3D RTE benchmark.

The prime application for deterministic approximation models is a situation, such as dynamical cloud modeling (LES- or CSRM-style), where computer time is a concern for every proposed enhancement. Another, longer term, application would be computer-aided cloud optical tomography where cloud shape and structure would be varied to fit a number of observations, i.e., fully 3D cloud remote sensing.

Development and intercomparison of these codes is an ongoing effort which benefits from the I3RC benchmark calculations. Modelers are encouraged to use the same cases as in Phase I to produce the same outputs, starting with the simpler ones (fluxes) and then proceeding to the more difficult ones (radiances). However, the eventual goal is to have these models tackle the cases of Phase II since they were designed purposefully to be very close to the level of detail required in the targeted applications.

**MC OPEN SOURCE WORKING GROUP.** The two most widely used solvers in atmospheric radiation today are the Discrete Ordinate Method (DISORT, Stamnes et al., 1988) for 1D problems, available via anonymous ftp at [ftp://climate1.gsfc.nasa.gov/wiscombe/Multiple\\_Scatt/](ftp://climate1.gsfc.nasa.gov/wiscombe/Multiple_Scatt/) and SHDOM for 3D problems, publicly available at <http://nit.colorado.edu/~evans/shdom.html>. Both are algorithms developed thanks to the courageous efforts of only a handful of individuals

(Evans in the case of SHDOM and Laszlo/Stamnes/Tsay/Wiscombe in the case of DISORT) with little or no help from the RT community.

The "open source" Working Group within I3RC takes a different approach by developing the framework of a MC model for solving RT problems in inhomogeneous cloudy atmospheres. I3RC thus provides a baseline code that is flexible and robust, and useful in both teaching and research contexts, but which in its initial release computes only monochromatic domain-averaged reflected and transmitted fluxes and their uncertainty estimates. This code will provide the platform for further developments, and the hope is that the I3RC community will contribute by adding modules for other features (radiance and heating rate calculations, spectral integration, etc.)

The I3RC open source framework is intended to provide pieces of code that can be reused in MC and other RT codes. To that end, following good software engineering practice, a set of modules was defined to represent each portion of the problem. Each module is defined by one or more data structures and a set of procedures (functions and subroutines) that operate on those structures. The modules build on one another, but are structured so that any module can be replaced by another implementation, as long as the new one provides the defined data structures and procedures. These modules are written in standard Fortran 95, to strike a good balance between efficiency and portability.

The nucleus code contains this framework, some associated infrastructure (i.e., translators from other frequently-used file formats), and subroutines integrating monochromatic fluxes with Lambertian surface properties. The code has been tested for all the I3RC Phase I cases. Implementation of the framework builds on ideas from SHDOM, and from libRadtran (Mayer and Kylling, 2004), an open source library employed by method 11 in Table 1, and methods 2

and 3 in Table 2. We hope that research interests within I3RC will produce communal efforts to develop integrators for computing radiances and for adding thermal sources so the model is more helpful to longwave remote sensing and modeling practitioners. Extension to backwards MC (as would be used, for example, to calculate the flux observed by a ground-based pyranometer for different illumination conditions, or as an alternative to the forward model for thermal calculations) is also desirable and is part of our future plans.

Beyond its obvious utility as a classroom/course tool, we anticipate that the I3RC MC “open source” model will benefit the atmospheric science community by providing:

- a tested documented benchmark code for 3D RT problems
- a structure to facilitate development of new RT solvers
- a modular “laboratory” to compare and improve MC algorithms.

**The I3RC WEB SITE.** A dedicated website, <http://i3rc.gsfc.nasa.gov> provides general information on the project’s goals and plans, scheduling, documentation and instructions for the experiments, and most importantly presents analysis of the results submitted by the participants. A popular feature of the website is the interactive tool that displays the results from both phases of I3RC. This tool generates plots of statistics or fields from the data provided. The routines allow the user to have control over the values displayed and the appearance of the plot which can be printed as a postscript file. Visitors to the web site who want to view results more quickly also have the option to access static plots, with no user control over default formats..

Figure 8 shows two of the menus of the interactive tool for Phase I results. There is also an interactive tool that can produce plots of the radiation fields themselves. The menu for this tool is shown in Fig. 9. It can be seen that the wealth of I3RC results can be controlled to a large extent

by the visitors to the I3RC website. This is of obvious use to active participants in I3RC, but is also useful to those who are simply users of one of the participating codes, since they can focus on the performance of that particular code.

**CONCLUDING REMARKS AND FUTURE OF I3RC.** Under funding from NASA's Radiation Sciences and DOE's ARM programs, the first two phases of I3RC have been largely completed, while the third phase is currently in progress. The close agreement among models after the second round of submissions in Phase I is a testament of the valuable role of I3RC in the detection of coding errors and overall 3D algorithm improvement. Unlike Phase I, Phase II included molecular and aerosol scattering and absorption, along with selected experiments at thermal infrared wavelengths. Both the domain sizes and the design of the experiments themselves raised the degree of complexity compared to Phase I. As a result, fewer codes participated (SHDOM and 12 MC codes). This fact by itself has underscored a key challenge faced by codes that "dropped out" or other non-participating codes, that of becoming able to tackle realistic problems most relevant to remote sensing and climate applications, especially those on the leading edge. Agreement between participating codes was in general very good. Given the vast number of produced radiation fields, the analysis of the impact of 3D cloud structure under different illumination and viewing geometries remains to be completed, and may be the subject of future publications. Since all results are available to the public, interpretation of the I3RC outputs could potentially become a collective exercise of the entire 3D RT community.

Phase III will employ 3D cloud fields reconstructed from advanced retrieval techniques on common field of view observations of two or more of NASA's Terra spacecraft radiometers, will extend the computations to "searchlight" and lidar-type experiments, and will emphasize

improving and sharing radiation codes, aided by working groups on "Approximations" and "Open Source".

Similar to RAMI (Pinty et al., 2001; 2004), its sister 3D project focusing on vegetated surfaces, I3RC has so far been successful at (1) providing useful benchmarks for code verification and development, (2) helping in identifying weakness or even bugs in 3D algorithms, (3) leading the way in 3D “open source” algorithm concepts, and (4) invigorating interest in current 3D RT issues within the atmospheric sciences community. These accomplishments may not cover the full list of initial goals set forth, but are still significant achievements. I3RC is a live project that will carry on in the next few years in order to achieve its remaining goals, as well as to meet challenges such as:

(1) ***Diversity of methods***. Participating methods that solve the exact 3D RTE on grids include 3D discrete ordinates, and SHDOM. All of the other participating methods are based on MC techniques. These include several versions of MC – forward, backward, and conjugated adjoint. Many MC codes share similar techniques, such as maximum cross section, for speed and variance reduction. MC approaches solve the exact RTE, and have relatively well-understood errors, so they are useful in evaluating errors of other methods. 3D methods that begin by approximating the transfer equation, such as diffusion and discrete-angle methods, also participate in I3RC, and can often gain speed advantages over the exact methods, sometimes at the expense of significantly larger errors. Since 3D approximations were absent in Phase II of I3RC, more efforts shall be made to meet the challenge of diversity.

(2) ***Applicability***. For I3RC to benefit both remote sensing and climate modeling, it is necessary for I3RC computations to include a wide variety of radiances, fluxes, and heating rates. Outputs quickly multiply. Even the restricted set of fields and outputs of Phase I led to ~1000 comparison

plots. For these to be useful and accessible requires a simple and flexible Web interface, which has been provided in the form of interactive analysis and plotting tools. These will continue to be improved in order to fulfill I3RC's educational objective.

(3) **Scalability.** Input cloud fields for I3RC must have a spatial resolution capable of resolving typical photon mean-free-path on the order of 100 meters, in order to represent 3D radiation effects, yet cover a sufficiently large domain to fairly represent cloud variability unresolved by GCMs, with grid boxes typically exceeding ~50 km horizontally. These two goals are not simultaneously achievable at present with commonly available computing resources. The I3RC baseline cases handle this problem by choosing relatively small domains within which 3D effects are well-resolved, and assuming that plane-parallel biases in domain-averaged quantities can be scaled up to the larger scales needed by models. This relies on empirical and cloud-resolving modeling studies of the scaling properties of clouds, that are still ongoing.

I3RC and RAMI, along with parallel efforts relating to 3D RT in sea ice, snow, and other components of the climate system, continue to benefit from overlapping issues and solutions. Given these interactions, a new 3D RT working group has been organized, chaired by one of the authors (Cahalan) and sponsored by the International Radiation Commission (IRC). The 3D RT group is coordinated by an executive committee that comes from a cross section of the 3D RT community. The group hopes to share tools and insights gleaned from the variety of applications in which they are engaged, and to encourage and enable the extension of 3D RT to new applications in earth science.

We are looking forward to a greater exposure of graduate students to the world of 3D atmospheric radiation in academic curricula, and hope the imminent publication of the first monograph exclusively dedicated to the subject (Marshak and Davis, 2005) will help in that

regard. With a larger audience, there is reason to be optimistic for a bright 3D RT future in climate research and remote sensing alike. While 3D RT models are by no means perfect or in perfect agreement, they have been steadily converging toward common answers, so investigation of approaches that would make them suitable for routine meteorological and climatic applications should intensify. We expect the I3RC project to be one day viewed as a main contributors to this effort and to the advancement of the field of atmospheric radiation in general.

*Acknowledgements.* I3RC is jointly funded by the US Department of Energy Atmospheric Radiation Measurement Program (DOE/ARM) and by the NASA Radiation Sciences Program (RSP), and is also sponsored by the GEWEX Radiation Panel (GRP) and the International Radiation Commission (IRC). We would like to specifically acknowledge the financial support by DOE via interagency agreement DE-AI02-00ER62939 and under NASA grants 621-30-86, 622-42-57, and the moral support and encouragement of Wanda Ferrell of the DOE/ARM Program, Don Anderson and Hal Maring of the NASA RSP, William Rossow of the GRP and Herbert Fisher of the IRC. We would also like to thank the 3D RT community at large for its remarkable efforts to advance the field in the last three decades. The research described in this paper by Roger Davies was carried out initially at the University of Arizona and then at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration.



## References

- Alcouffe, R. E., R. S. Baker, F. W. Brinkley, D. R. Marr, R. D. O'Dell, and W. F. Walters, 1997: *DANTSYS: A Diffusion Accelerated Neutral Particle Transport* (UC-705), issued 06/95, revised 03/97, Los Alamos National Laboratory, Los Alamos (NM).
- Avaste, O. A., and G. M. Vainikko, 1974: Solar radiation transfer in broken clouds. *Izv. Acad. Sci. USSR Atmos. Oceanic Phys.*, **10**, 1054-1061.
- Barker, H. W., J.-J. Morcrette, and G. D. Alexander, 1998: Broadband solar fluxes and heating for atmospheres with 3D broken clouds. *Quart. J. Roy. Meteor. Soc.*, **124**, 1245–1271.
- Barker, H. W., G. L. Stephens, and Q. Fu, 1999: The sensitivity of domain averaged solar fluxes to assumptions about cloud geometry. *Quart. J. Roy. Meteor. Soc.*, **125**, 2127–2152.
- Barker, H. W., R. K. Goldstein, and D. E. Stevens, 2003a: Monte Carlo simulation of solar reflectances for cloudy atmospheres. *J. Atmos. Sci.*, **60**, 1881-1894.
- Barker, H. W., and coauthors, 2003b: Assessing 1D atmospheric solar radiative transfer models: Interpretation and handling of unresolved clouds. *J. Climate*, **16**, 2676-2699.
- Byrne, R. N., R. C. J. Somerville, and B. Subasilar, 1996: Broken-cloud enhancement of solar radiation absorption. *J. Atmos. Sci.*, **53**, 878-886.
- Cahalan, R. F., 1989: Overview of Fractal Clouds, in *Advances in Remote Sensing*. A. Deepak Publishing, Hampton (VA), pp. 371-388.
- Cahalan, R. F., W. Ridgway, W. J. Wiscombe, S. Gollmer, and Harshvardhan, 1994: Independent pixel and Monte Carlo estimates of stratocumulus albedo. *J. Atmos. Sci.*, **51**, 3776–3790.
- Cahalan, R. F., and R. Davies (eds.), 2000: *Intercomparison of three-dimensional radiation codes: Abstracts of the first and second international workshops*. University of Arizona Press, Tucson (AZ), ISBN 0-9709609-0-5.

- Cess, R. D., and co-authors 1989: Interpretation of cloud-climate feedback as produced by 14 atmospheric general circulation models. *Science*, **245**, 513-515.
- Cess, R. D., and co-authors, 1990: Intercomparison and interpretation of climate feedback processes in 19 atmospheric general circulation models. *J. Geophys. Res.*, **95**, 16,601-16,615.
- Cess, R. D., and co-authors, 1996: Cloud feedback in atmospheric general circulation models: An update. *J. Geophys. Res.*, **101**, 12,791-12,794.
- Chambers, L., B. Wielicki, and K. F. Evans, 1997: On the accuracy of the independent pixel approximation for satellite estimates of oceanic boundary layer cloud optical depth. *J. Geophys. Res.*, **102**, 1779-1794.
- Cole, J., 2005: Assessing the importance of unresolved cloud-radiation interactions in atmospheric global climate models using the multiscale modeling framework. Ph.D. Thesis. *The Pennsylvania State University*, University Park (PA).
- Davies, R., 1978: The effect of finite cloud geometry on the 3D transfer of solar irradiance in clouds, *J. Atmos. Sci.* 35, 1712-1725.
- Davis, A. B., S. M. Lovejoy, and D. Schertzer, 1991: Discrete angle radiative transfer in a multifractal medium, In *S.P.I.E. Proceedings, vol. 1558: "Wave Propagation and Scattering in Varied Media II"*, pp. 37-59, Ed. V. K. Varadan, S.P.I.E. Publications, Bellingham (WA).
- Davis, A. B. and A. Marshak, 2001: Multiple scattering in clouds: Insights from three-dimensional diffusion/P<sub>1</sub> theory. *Nuclear Sci. and Engin.*, **137**, 251–280.
- Evans, K.F., 1998: The spherical harmonics discrete ordinate method for three-dimensional atmospheric radiative transfer. *J. Atmos. Sci.*, **55**, 429–446.

- Faure, T., H. Isaka, and B. Guillemet, 2001: Neural network analysis of the radiative interaction between neighboring pixels in inhomogeneous clouds. *J. Geophys. Res.*, **106**, 14,465-14,484.
- Fu, Q., S. K. Krueger, and K.-N. Liou, 1995: Interactions of radiation and convection in simulated tropical cloud clusters. *J. Atmos. Sci.*, **52**, 1310-1328.
- Gabriel, P. M., and K. F. Evans, 1996: Radiative transfer methods for calculating domain-averaged solar fluxes in inhomogeneous clouds. *J. Atmos. Sci.*, **53**, 858-877.
- Galinsky V. L., and V. Ramanathan, 1998: 3D radiative-transfer in weakly inhomogeneous media, Part I: Diffusive approximation. *J. Atmos. Sci.*, **55**, 2946-2959.
- Geogdzhaev, I. V., T. V. Kondranin, A. N. Rublev, and N. E. Chubarova, 1997: UV radiation transfer through broken cloud fields: Modeling and comparison with measurements. *Izves. Atmos. Ocean. Phys.* **33(5)**, 630-635.
- Glasov G.N. and G.A. Titov, 1975: Stochastic characteristics of the extinction coefficient in broken clouds. *Izv. Vuzov. Physics*, **9**, 151-154.
- Gu, Y., and K.-N. Liou, 2001: Radiation parameterization for three-dimensional inhomogeneous cirrus clouds: Application to climate models. *J. Climate*, 2443-2457.
- Kassianov, E. I., and Y. L. Kogan, 2002: Spectral dependence of radiative horizontal transport in stratocumulus clouds and its effect on near-IR absorption. *J. Geophys. Res.*, **107**, No. D23, 4712, doi:10.1029/2002JD002103.
- Kiehl, J.T. and K.E. Trenberth, 1997: Earth's annual global mean energy budget. *Bull. Amer. Meteor. Soc.*, **78**, 197-208.
- Lenoble, J. (Ed.), 1985: *Radiative Transfer in Scattering and Absorbing Atmospheres: Standard Computational Procedures*, 300pp, Deepak, Hampton (VA).
- Macke, A., D. Mitchell, and L. von Bremen, 1999: Monte Carlo radiative transfer calculations for

- inhomogeneous mixed phase clouds. *Phys. Chem. Earth (B)*, **24(3)**, 237-241.
- Marchuk, G., G. Mikhailov, M. Nazaraliev, R. Darbinjan, B. Kargin, and B. Elepov, 1980: *The Monte Carlo Methods in Atmospheric Optics*. Springer-Verlag, New York (NY).
- Marshak., A., A. Davis, W. J. Wiscombe, and R. F. Cahalan, 1995: Radiative smoothing in fractal clouds. *J. Geophys. Res.*, **100**, 26,427-26,261.
- Marshak., A., A. Davis, R. F. Cahalan, W. J. Wiscombe, 1998: Nonlocal independent pixel approximation: Direct and inverse problems. *IEEE Trans. Geosc. Rem. Sens.*, **36(1)**, 192-205.
- Marshak, A., L. Oreopoulos, A. Davis, W. J. Wiscombe, and R. F. Cahalan, 1999: Horizontal radiative fluxes in clouds and accuracy of the Independent Pixel Approximation at absorbing wavelengths. *Geophys. Res. Lett.*, **11**, 1585–1588.
- Marshak, A. and A. B. Davis (eds.), 2005: *Radiative transfer in cloudy atmospheres*, Springer Verlag, 701 pp.
- Mayer, B., and A. Kylling, 2004: The libRadtran software package for radiative transfer calculations: Descriptions and examples of use. *Atmos. Chem. Phys.*, submitted.
- McKee, T. B., and S. K. Cox, 1974: Scattering of visible radiation by finite clouds. *J. Atmos. Sci.*, **31**, 1885–1892.
- Moeng, C.-H., and co-authors, 1996: Simulation of a stratocumulus-topped planetary boundary layer: Intercomparison among different numerical codes. *Bull. Am. Meteor. Soc.* **77**, 261–278.
- Mullamaa, Y. R., M. A. Sulev, V. K. Poldmaa, H. A. Ohvril, H. J. Nrylisk, M. I. Allenov, L. G. Tchuhakov, and A. F. Kuusk, 1972: Stochastic structure of cloud and radiation fields. *Academy of Sciences of Estonian SSR, NASA Technical Translation TT F-822*, 1975, 1–192.

- Oreopoulos, L., and R. Davies, 1998: Plane parallel albedo biases from satellite observations. Part I: Dependence on resolution and other factors. *J. Climate* , **11** , 919-932.
- Oreopoulos, L., R. Cahalan, A. Marshak, and G. Wen, 2000: A new normalized difference cloud retrieval technique applied to Landsat radiances over the Oklahoma ARM Site. *J. Appl. Meteor.*, **39**, 2305-2320.
- O'Hirok, W. and C. Gautier, 1998: A three-dimensional radiative transfer model to investigate the solar radiation within a cloudy atmosphere. Part I: Spatial effects. *J. Atmos. Sci.*, **55**, 2162-2179, 1998.
- Partain, P. T., A. K. Heidinger, and G. L. Stephens, 2000: High spectral resolution atmospheric radiative transfer: Application of the equivalence theorem. *J. Geophys. Res.*, **105**, 2163-2177.
- Pinty, B., and co-authors, 2001: The radiation transfer model intercomparison (RAMI) exercise. *J. Geophys. Res.*, **106**, 11,937-11,956.
- Pinty, B., and co-authors, 2004: The radiation transfer model intercomparison (RAMI) exercise: Results from the second phase. *J. Geophys. Res.*, **109**, doi: 10.1029/2003JD004252.
- Polonsky, I. N., M. A. Box, and A. B. Davis, 2003: Radiative transfer through inhomogeneous turbid media: Implementation of the adjoint perturbation approach at the first-order. *J. Quant. Spectrosc. Radiat. Transfer*, **78**, 85-98.
- Qu, Z., 1999: *On the Transmission of Ultraviolet Radiation in Horizontally Inhomogeneous Atmospheres: A Three-Dimensional Approach Based on the delta-Eddington Approximation*. Ph.D. Thesis, University of Chicago, Department of Geophysical Sciences, Chicago (Il).
- Ramanathan, V., R. D. Cess, E. F. Harrison, P. Minnis, B. R. Barkstrom, E. Ahmad and D.

- Hartmann, 1989: Cloud-radiative forcing and climate: Results from the Earth-radiation Budget Experiment. *Science*, **243**, 57–63.
- Randall, D., M. Khairoutdinov, A. Arakawa, and W. Grabowski, 2003: Breaking the cloud parameterization deadlock. *Bull. Amer. Meteor. Soc.*, **84**, 1547–1564.
- Rublev, A. N., A. B. Uspensky, A. N. Trotsenko, T. A. Udalova, and E. V. Volkova, 2004: Detection and assessment of a cloud using data from atmospheric IR sensors with high spectral resolution. *Earth Res. Space*, **2**, 1-9 (in Russian).
- Stamnes, K., S.-C. Tsay, W. J. Wiscombe, and K. Jayaweera, 1988. Numerically stable algorithm for discrete-ordinate-method radiative transfer in multiple scattering and emitting layered media. *Appl. Opt.*, **27**, 2502–2509.
- Takara, E. E., and R. G. Ellingson, 1996: Scattering effects on longwave fluxes in broken cloud fields. *J. Atmos. Sci.*, **53**, 1464-1476.
- Thomas, G. E., and K. Stamnes, 1999: *Radiative Transfer in the Atmosphere and Ocean*. 517 pp., Cambridge University Press, New York (NY).
- Trasi, N. S., C. R. E. de Oliveira, and J. D. Haigh, 2004: A finite element-spherical harmonics model for radiative transfer in inhomogeneous clouds: Part I: The EVENT model. *Atmos. Res.*, **72**, 197-221.
- Várnai, T., and R. Davies, 1999: Effects of cloud heterogeneities on shortwave radiation: comparison of cloud-top variability and internal heterogeneity. *J. Atmos. Sci.*, **56**, 4206-4224.
- Várnai, T., and A. Marshak, 2002: Observations and analysis of 3-dimensional radiative effects that influence MODIS cloud optical thickness retrievals. *J. Atmos. Sci.*, **59**, 1607-1618.
- Várnai, T., and A. Marshak, 2003: A method for analyzing how various parts of clouds influence each other's brightness. *J. Geophys. Res.* **108** (D22), 4706, doi: 10.1029/2003JD003651.

Wielicki, B. A. and R. M. Welch, 1986: Cumulus cloud properties derived using Landsat satellite data. *J. Appl. Meteor.*, **25**, 261-276.

**Table 1**

#	Code	Institution	Contact Person	Reference	Method Description
1	ARIZ (USA)	Formerly University of Arizona, now at UCLA	M. Garay	Davies (1978)	Monte Carlo
2	COLS (USA)	Colorado State University	P. Partain	Partain et al. (2000)	Monte Carlo
3	IAOT (Russia)	Institute of Atmospheric Optics	T. Zhuravleva	N/A	Monte Carlo
4	KIAE1 (Russia)	Kurchatov Institute	A. Rublev	Geogdzaev et al. (1997)	Monte Carlo
5	KIAE2 (Russia)	Kurchatov Institute	A. Rublev	Rublev et al. (2004)	Monte Carlo using adjoint RTE
6	LANL1 (USA)	Los Alamos National Laboratory	A. Davis	Qu (1999)	ED3D (3D Delta-Eddington) diffusion model
7	LANL2 (USA)	Los Alamos National Laboratory	A. Davis	Davis et al. (1991)	DA (6-beam PDE model, using Monte Carlo)
8	LANL3 (USA)	Los Alamos National Laboratory	A. Davis	Alcouffe et al. (1997)	TWODANT
9	MESC1 (Canada)	Meteorological Service Of Canada	H. Barker	Barker et al. (2003a)	Monte Carlo
10	MESC2 (Canada)	Meteorological Service Of Canada	H. Barker	Barker et al. (2003a)	Monte Carlo, Delta-scaled Optical Properties
11	NCAR (Germany)	Formerly NCAR, now DLR	B. Mayer	Mayer and Kylling (2004)	Monte Carlo, libRadtran
12	PENN (USA)	The Pennsylvania State University	E. Clothiaux	Cole (2005)	Monte Carlo
13	PNNL (USA)	Pacific Northwest National Laboratory	E. Kassianov	Kassianov and Kogan (2002)	MC, Max. Cross Section, exact 1st-order scattering
14	UCOL1 (USA)	University of Colorado	K. F. Evans	Evans (1998)	SHDOM, Low Resolution
15	UCOL2 (USA)	University of Colorado	K. F. Evans	Evans (1998)	SHDOM, High Resolution
16	UCSB (USA)	University of California Santa Barbara	W. O'Hirok	O'Hirok and Gautier (1998)	Monte Carlo
17	UMBC1 (USA)	Formerly UMBC, now at GSFC	A. Marshak	Marshak et al. (1995)	Monte Carlo, Local Max. Cross Section
18	UMBC2 (USA)	University of Maryland Baltimore County	T. Várnai	Várnai and Marshak (2002)	Monte Carlo, Max. Cross Section
19	UMBC3 (USA)	Formerly UMBC, now at Max Planck Institute	S. Kinne	N/A	Monte Carlo
20	UMBC4 (USA)	Formerly UMBC, now at Max Planck Institute	S. Kinne	N/A	DA (6-beam discrete-space model, using relaxation)
21	UNBP1 (France)	Université Blaise Pascal	F. Szczap	Faure et al. (2001)	Neural networks
22	UNBP2 (France)	Université Blaise Pascal	F. Szczap	Marshak et al. (1998)	NIPA
23	UNIK (Germany)	University of Kiel	A. Macke	Macke et al. (1999)	Monte Carlo



**Table 2**

#	Code	Institution	Contact Person	Reference	Method Description
1	ARIZ (USA)	Formerly University of Arizona, now at UCLA	M. Garay	Davies (1978)	Monte Carlo
2	DZLR1 (Germany)	Deutsches Zentrum für Luft und Raumfahrt	B. Mayer	Mayer and Kylling (2004)	Monte Carlo, libRadtran
3	DZLR2 (Germany)	Deutsches Zentrum für Luft und Raumfahrt	B. Mayer	Mayer and Kylling (2004)	Monte Carlo, libRadtran truncated forward peak
4	IAOT (Russia)	Institute of Atmospheric Optics	T. Zhuravleva	N/A	Monte Carlo, Max. Cross Section
5	ICOM (Russia)	Institute of Computational Mathematics	S. Prigarin	N/A	Monte Carlo, Max. Cross Section
6	PENN (USA)	The Pennsylvania State University	E. Clothiaux	Cole (2005)	Monte Carlo
7	PNNL (USA)	Pacific Northwest National Laboratory	E. Kassianov	Kassianov and Kogan (2002)	MC, Max. Cross Sect., exact 1st-order scattering
8	UCOL (USA)	University of Colorado	F. Evans	Evans (1998)	SHDOM
9	UCSB (USA)	University of California Santa Barbara	W. O'Hirok	O'Hirok and Gautier (1998)	Monte Carlo
10	UMBC1 (USA)	Formerly UMBC, now at GSFC	A. Marshak	Marshak et al. (1995)	Monte Carlo, Local Max. Cross Section
11	UMBC5 (USA)	University of Maryland Baltimore County	T. Varnai	Várnai and Marshak (2003)	Monte Carlo, Max. Cross Section
12	UMCP (USA)	Formerly University of Maryland, now at FSU	E. Takara	Takara and Ellingson (1996)	Monte Carlo, LW, backward
13	UNIK (Germany)	University of Kiel	A. Macke	Macke et al. (1999)	Monte Carlo, local estimation for radiances

**Table 3**

Description	Contact Person(s), Institution(s)	I3RC codename	Reference
<i>Truncated versions of 3D RTE solvers</i>			
EVENT truncated at $L=1$	C. de Oliveira (IMCL), N. Trasi (IMCL)		Trasi et al. (2004)
SHDOM truncated at $L=1$	K. F. Evans (UCOL)		Evans (1998)
Monte Carlo, rescaled optical properties	H. Barker (MESC)	MESC2	Barker et al. (2003)
Successive orders-of- scattering	R. Davies (JPL), M. Garay (UCLA)		N/A
<i>Solutions of alternate equations that derive from the 3D RTE</i>			
Adjoint perturbation theory	M. Box (UNSW), I. Polonsky (LANL)		Polonsky et al. (2003)
Diffusion, finite differences (ED3D)	Z. Qu (CIRES), A. Davis (LANL)	LANL1	Qu (1999)
Diffusion, finite differences	Y. Gu (UCLA), K.-N. Liou (UCLA)		Gu and Liou (2001)
Diffusion, finite differences, multi-grid	A. Davis (LANL), M. Hall (LANL)		N/A
Diffusion, perturbation	V. Galinsky (Scripps)		Galinsky and Ramanathan (1998)
DA, PDEs, Monte Carlo	A. Davis (LANL)	LANL2	Davis et al. (1991)
DA, discrete-space, relaxation	S. Kinne (UMBC)	UMBC4	N/A
DA, discrete-space, multi- grid	S. Lovejoy (McGill), B. Watson (St. Lawrence)		N/A
DA, 2nd-order PDEs, multi-grid	A. Davis (LANL), M. Hall (LANL)		N/A
<i>Physics- or statistics-based numerical recipes</i>			
Direct-Beam IPA	K. F. Evans (UCOL), P. Gabriel (COLS)		Gabriel and Evans (1996)
Tilted IPA	T. Várnai (UMBC), R. Davies (JPL)		Várnai and Davies (1999)
Nonlocal IPA	A. Marshak (NASA), L. Oreopoulos (UMBC)	UNBP2	Marshak et al. (1998)
Mapping Neural Networks	F. Szczap (LAMP), C. Cornet (JPL)	UNBP1	Faure et al. (2001)

## Figure Captions

**Figure 1** Integrated visible optical thickness for the three cloud fields of I3RC Phase I: Case 1 (top), called “step cloud” with homogeneous extinction in the vertical, infinite extent in the  $y$ -direction, and periodic boundary conditions for the  $x$ -direction (photons exiting either of the cloud sides reappear on the other side). Case 2 (middle), based on millimeter radar observations at the DOE ARM ACRF site in Lamont, OK on Feb. 8, 1998, and retrievals by Sally McFarlane of PNNL, also assumed infinite along the  $y$ -direction and periodic in the  $x$ -direction ; and Case 3 (bottom) based on retrievals from high resolution Landsat-4 radiance measurements provided by Bruce Wielicki of NASA Langley (details on retrieval assumptions and statistics of the inferred optical thickness field can be found in the I3RC website). There were 4 experiments for cases 1 and 3, and 8 experiments for case 2 (comprehensive descriptions are provided in the I3RC website). The requested output consisted of fields of fractional boundary fluxes (reflectance, transmittance), column absorptance, horizontal flux, nadir bidirectional reflectance, zenith bidirectional transmittance (only at oblique illumination), bidirectional reflectances at a  $60^\circ$  view angle, errors to the mean of all the above quantities, and CPU time for each experiment along with the technical specifications of the computer(s) used to run the simulations. In many instances, participants provided only a subset of the requested output. To obtain a complete picture of each model’s performance, in addition to comparison metrics that provide overall assessments over a number of experiments and conditions, results were generated which allow the comparison of a specific model to all others for each case, experiment, and radiative quantity. Visual comparisons were also made available by plotting fields, or partial fields, and for case 3, which consists of many pixels, histograms of the various radiative quantities derived from the full fields. The subsequent development of interactive tools for plotting (see section “The I3RC

Web Site”) allowed more flexibility for model intercomparison. For example, cross-comparison statistics can be calculated with respect to any participating model or the “consensus” mean field.

**Figure 2** Reflectance ( $R$ ), transmittance ( $T$ ), absorptance ( $A$ ) and horizontal flux  $H=1-R-T-A$  for experiment 4, case 1 of Phase I (“step cloud”) from 18 participating codes. The single-scattering albedo is 0.99, the scattering phase function is that of Henyey-Greenstein with asymmetry factor (mean cosine of the scattering angle)  $g=0.85$ , the solar zenith angle is  $60^\circ$  (sun shining from left), the surface is black (non-reflecting), and periodic boundary conditions in the horizontal are used. Only outliers are identified (see Table 1), and, predictably, both are approximation methods. But these are still better than the ICA (a.k.a. IPA) approximation (no horizontal flux allowed) which is identified by the black dots and which comes from calculations with the DISORT code.

**Figure 3** Nadir radiance (bidirectional reflectance) fields of selected submissions for experiment 4 of case 3 (the Landsat field of Fig. 1) of I3RC Phase I. The input parameter values are the same as in the step cloud case shown in Fig. 2. The colors represent a range of values extending from 0 (black) to 0.8 (red). It can be seen that all participants capture the main spatial features of the radiance field, while for the MC fields (all but UCOL1) the noisiness of the field is a function of the number of photons used and the method of radiance calculation, i.e., “cone” method (e.g., Várnai and Davies, 1999) vs. “local estimate” method (e.g., Marshak et al., 1995). Even the noisiest of the submissions manage to capture the mean radiance field quite accurately (Fig. 4).

**Figure 4** Domain-average nadir reflectivity (gray bars) and standard deviation (black bars) for all models that participated in the I3RC Phase I experiment shown in the previous figure. The last pair of columns correspond to the ICA which was calculated using DISORT. The reflectivity field corresponding to ICA is also shown in order to highlight differences from the full 3D radiative transfer (less variability and structure for ICA).

**Figure 5** Top-down view of integrated visible optical thickness field for the two LES cloud fields of I3RC Phase II: cumulus (top) and stratocumulus (bottom).

**Figure 6** High-accuracy nadir reflectance fields for the LES Cumulus cloud field of Phase II. The colors represent a range of values extending from 0 (violet) to 1.2 (red). This is experiment 7 which includes an absorbing and scattering atmosphere consisting of aerosols and gases, a reflecting Lambertian surface of 0.2 albedo, and assumes a Mie phase function for the scattering particles (spherical liquid droplets, non-absorbing for this experiment). The sun is at a solar zenith angle of  $60^\circ$  and is shining from the left side of the domain.

**Figure 7** Domain-average nadir reflectivity (gray bars) and standard deviation (black bars) for the fields shown in Fig. 6. The last pair of columns correspond to the ICA which was calculated using DISORT. The reflectivity field corresponding to ICA is also shown in order to highlight differences from the full 3D radiative transfer. The ICA field has neither the cloud shadows evident in all fields of Fig. 6, nor the radiative peaks near the centers of the thickest convective cells caused by cloud side illumination. A 1D retrieval algorithm would interpret these radiative peaks as clouds that are optically thicker than in reality.

**Figure 8** The interface of the interactive plot tool in the I3RC website (<http://i3rc.gsfc.nasa.gov>) for summary statistics (top) and cross-comparison statistics (bottom).

**Figure 9** The interface of the interactive plot tool in the I3RC website (<http://i3rc.gsfc.nasa.gov>) for creating 2D images and 1D transects of radiation fields.

## List of Tables

**Table 1** List of participants in Phase I of I3RC. See acronym list for name expansion of methods and participating institutions. *Italicized* names designate approximation methods which only participated in Case 1 (step-cloud) experiments. Documentation or application of these codes in scientific problems can be found in the papers entered in the “Reference” column (see also reference list), whenever available, as well as in Cahalan and Davies (2000).

**Table 2** List of participants of Phase II of I3RC. See acronym list for name expansion of methods and participating institutions. Documentation or application of these codes in scientific problems can be found in the papers entered in the “Reference” column (see also reference list), whenever available, as well as in Cahalan and Davies (2000).

**Table 3** List of deterministic approaches for approximate 3D RT grouped into three broad categories. See acronym list for name expansion of methods and participating institutions. The six entries in the third column mean that a contribution was made to the I3RC database (all were just for the Case 1 step-cloud). The 11 other methods are simply candidates identified either in the literature or at the break-out sessions of the Approximations working group during I3RC workshops. Documentation or application of these codes in scientific problems can be found in the papers entered in the “Reference” column (see also reference list), whenever available.

## APPENDIX

### List of Acronyms

**1D:** one-dimensional

**3D:** three-dimensional

**ACRF:** ARM Climate Research Facility

**ARIZ:** University of Arizona

**ARM:** Atmospheric Radiation Measurement (Program)

**CDC:** Climate Diagnostics Center

**CIRES:** Cooperative Instrument for Research in Environmental Sciences

**COLS:** Colorado State

**CPU:** Central Processing Unit

**CSRM:** Cloud System Resolving Model

**Cu:** Cumulus (cloud field)

**DA:** Discrete Angle

**DOE:** Department of Energy

**DISORT:** Discrete Ordinates Radiative Transfer (code)

**DZLR:** Deutsches Zentrum für Luft und Raumfahrt

**ED3D:** Eddington-Delta in 3D

**EOS:** Earth Observing System

**EVENT:** Even-parity Neutron Transport

**GCM:** General Circulation Model

**GCSS:** GEWEX Cloud System Study

**GEWEX:** Global Energy and Water Cycle Experiment

**GISS:** Goddard Institute for Space Studies

**GRP:** GEWEX Radiation Panel

**GSFC:** (NASA's) Goddard Space Flight Center

**IAOT:** Institute of Atmospheric Optics at Tomsk

**ICA:** Independent Column Approximation (a.k.a. Independent Pixel Approximation, IPA)

**ICOM:** Institute of Computational Mathematics

**ICRCCM:** Intercomparison of Radiation Codes in Climate Models

**IMCL:** Imperial College of London

**IRC:** International Radiation Commission

**I3RC:** International Intercomparison of 3D Radiation Codes (<http://i3rc.gsfc.nasa.gov>)

**IPA:** Independent Pixel Approximation (a.k.a. Independent Column Approximation, ICA)

**JPL:** Jet Propulsion Laboratory

**KIAE:** Kurchatov Institute

**LAMP:** Laboratoire de Météorologie Physique

**LANL:** Los Alamos National Laboratory

**LARC:** NASA Langley Research Center

**LES:** Large Eddy Simulation (Model)

**libRadtran:** C and Fortran library of radiative transfer routines

**LOA:** Laboratoire d'Optique Atmosphérique

**MC:** Monte Carlo

**MESC:** Meteorological Service of Canada

**MMCR:** Millimeter Cloud Radar

**MMF:** Multi-Scale Modeling Framework

**MWR:** MicroWave Radiometer

**NASA:** National Aeronautics and Space Administration

**NCAR:** National Center for Atmospheric Research

**NIPA:** Non-local Independent Pixel Approximation

**NOAA:** National Oceanic and Atmospheric Administration

**PDE:** Partial Differential Equation

**PENN:** Penn State University

**PNNL:** Pacific Northwest National Laboratory

**RAMI:** Radiation Transfer Model Intercomparison

**RT:** Radiative Transfer

**RSP:** Radiation Sciences Program (NASA)

**RTE:** Radiative Transfer Equation

**Sc:** Stratocumulus (cloud field)

**SHDOM:** Spherical Harmonics Discrete Ordinate Method (code)

**TWODANT:** Two-Dimensional Diffusion-Accelerated Neutral-Particle Transport



**UCLA:** University of California, Los Angeles

**UCOL:** University of Colorado

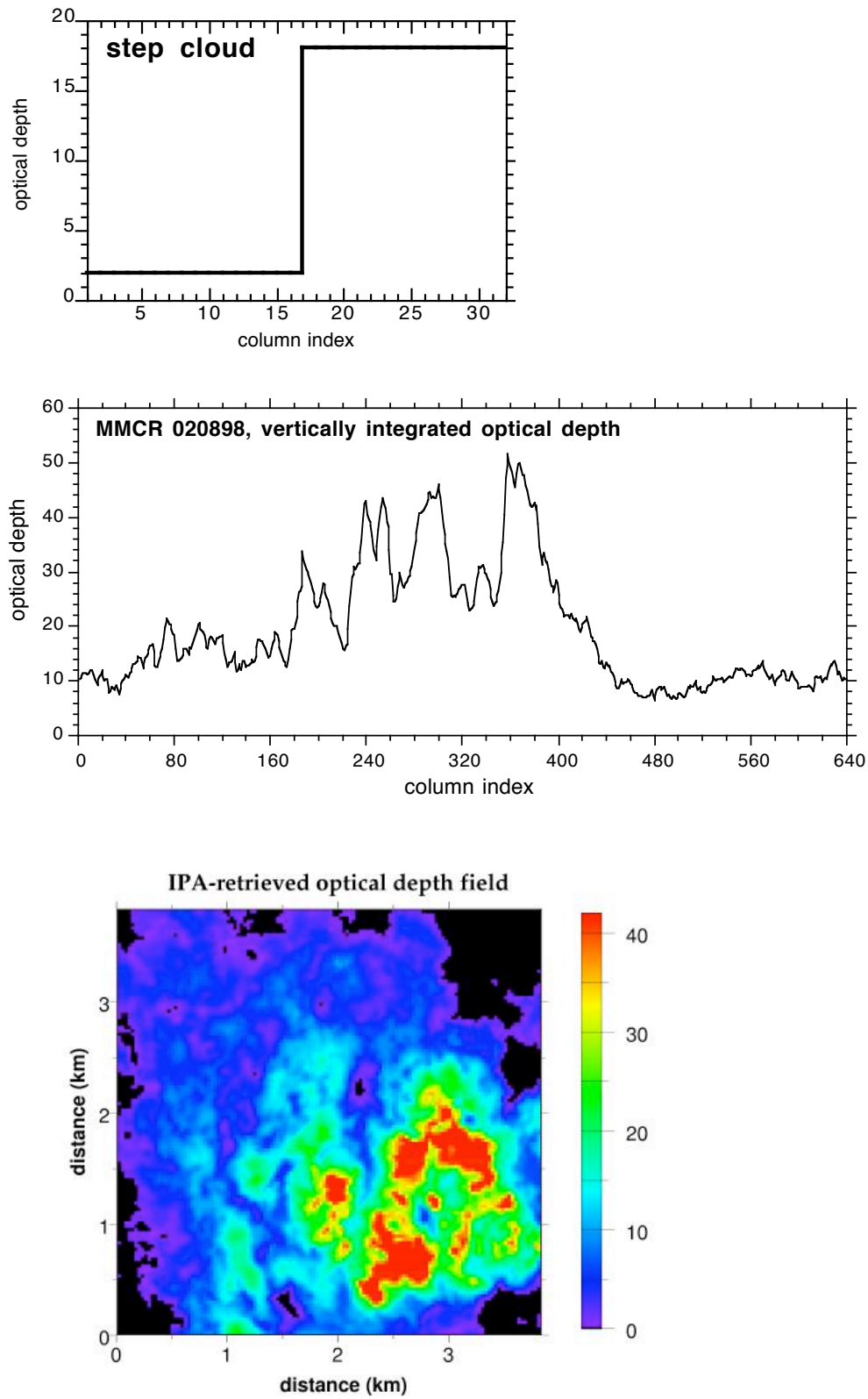
**UCSB:** University of California, Santa Barbara

**UMBC:** University of Maryland, Baltimore County

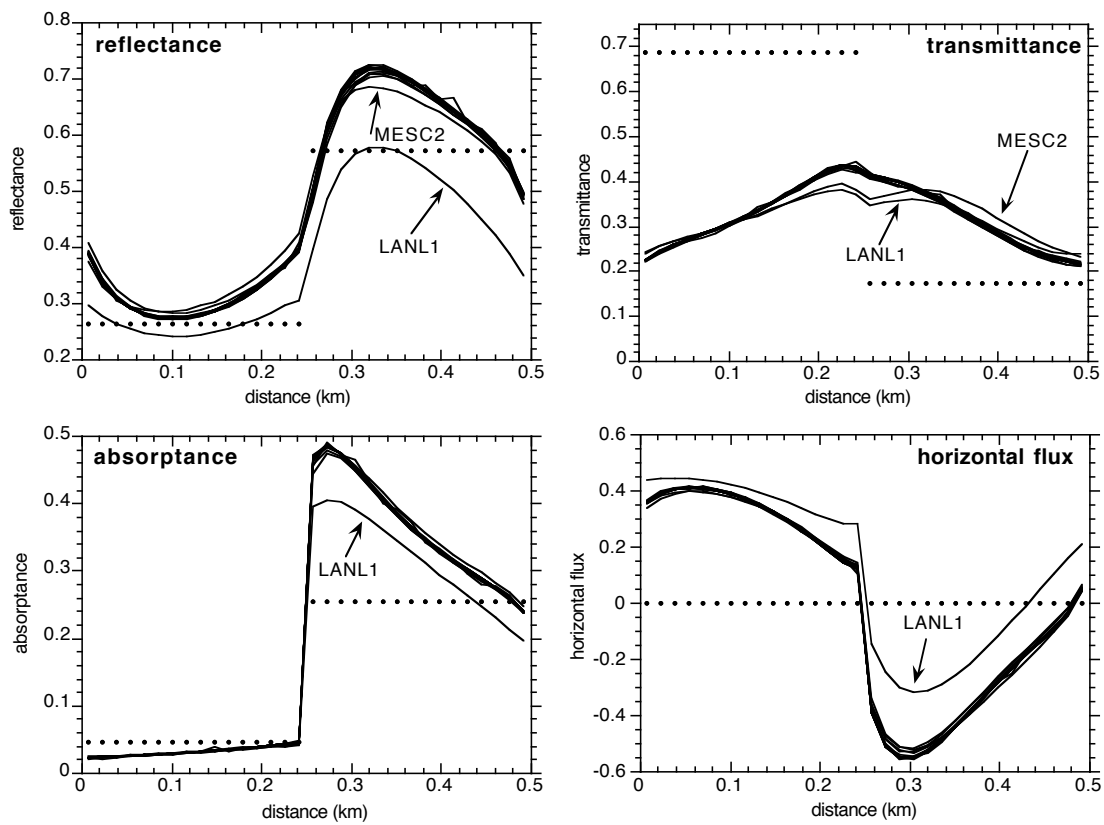
**UMCP:** University of Maryland, College Park

**UNIK:** University of Kiel

**UNSW:** University of New South Wales



**Figure 1**



**Figure 2**

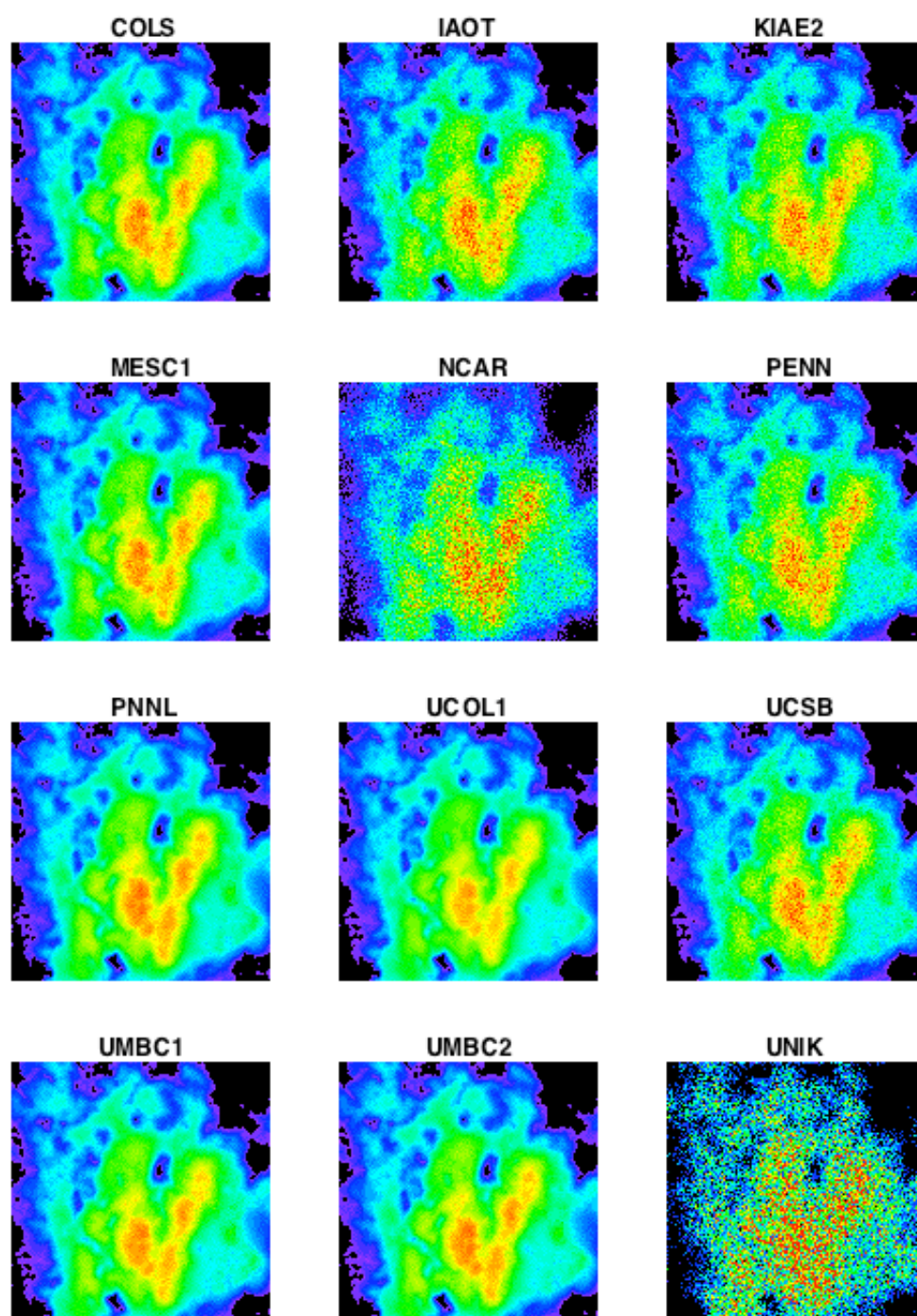
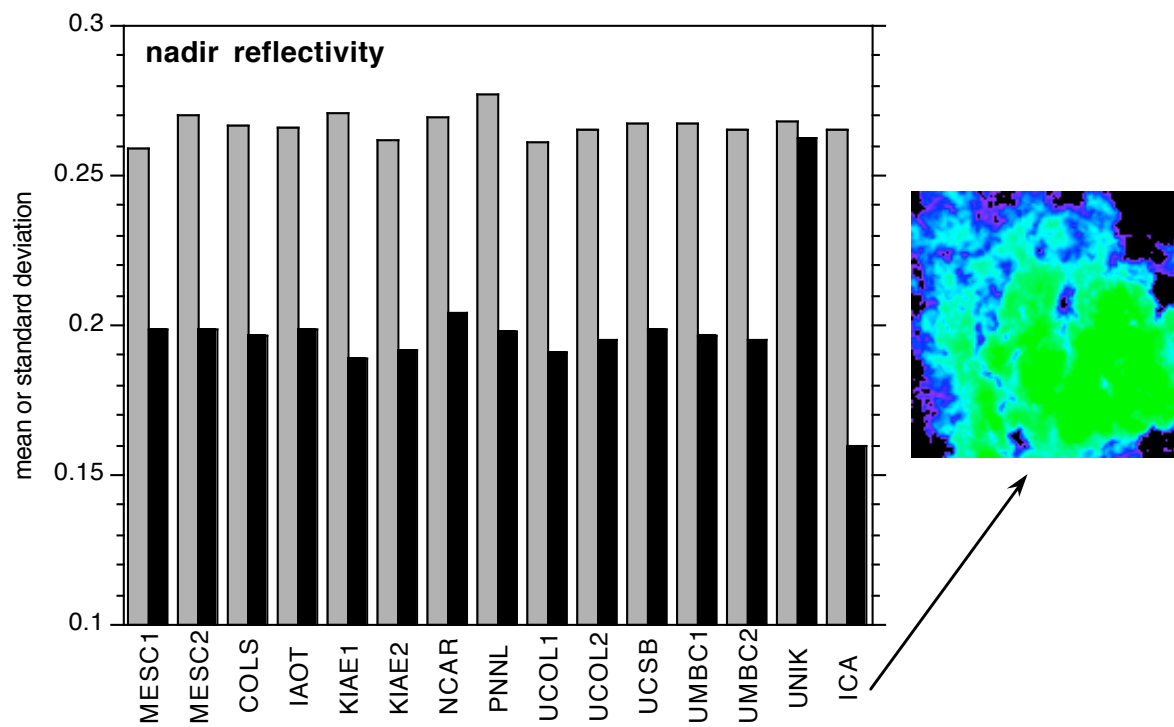
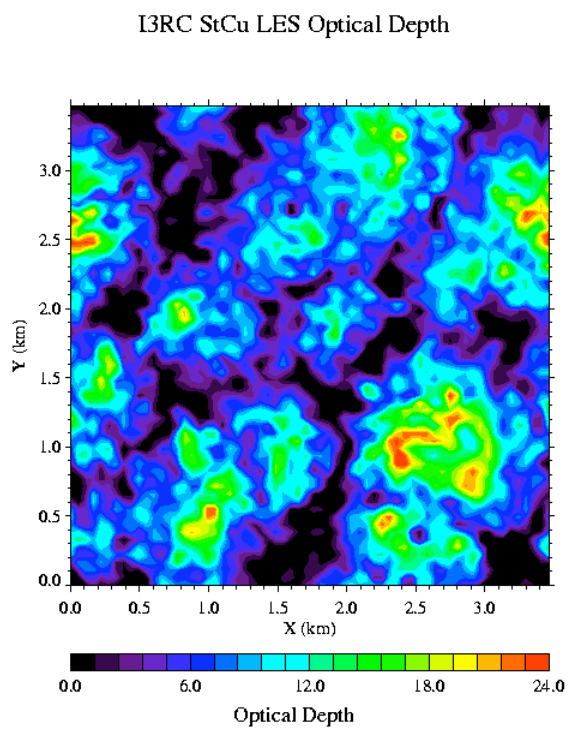
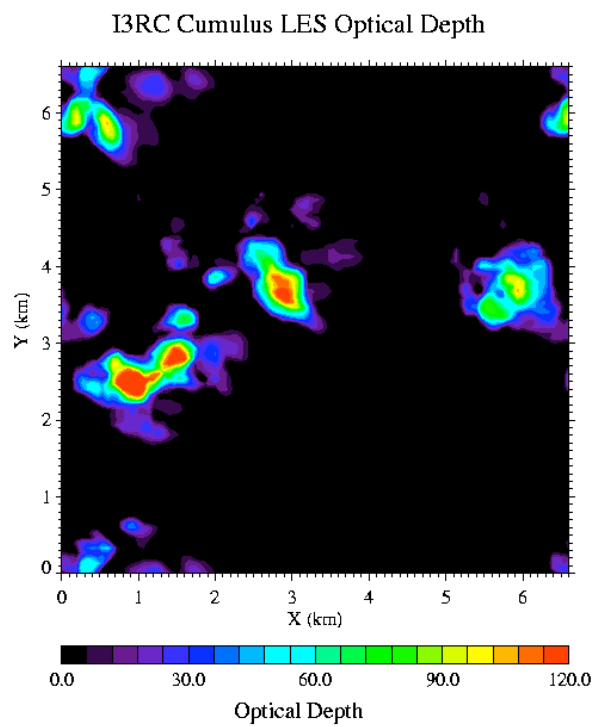


Figure 3



**Figure 4**



**Figure 5**

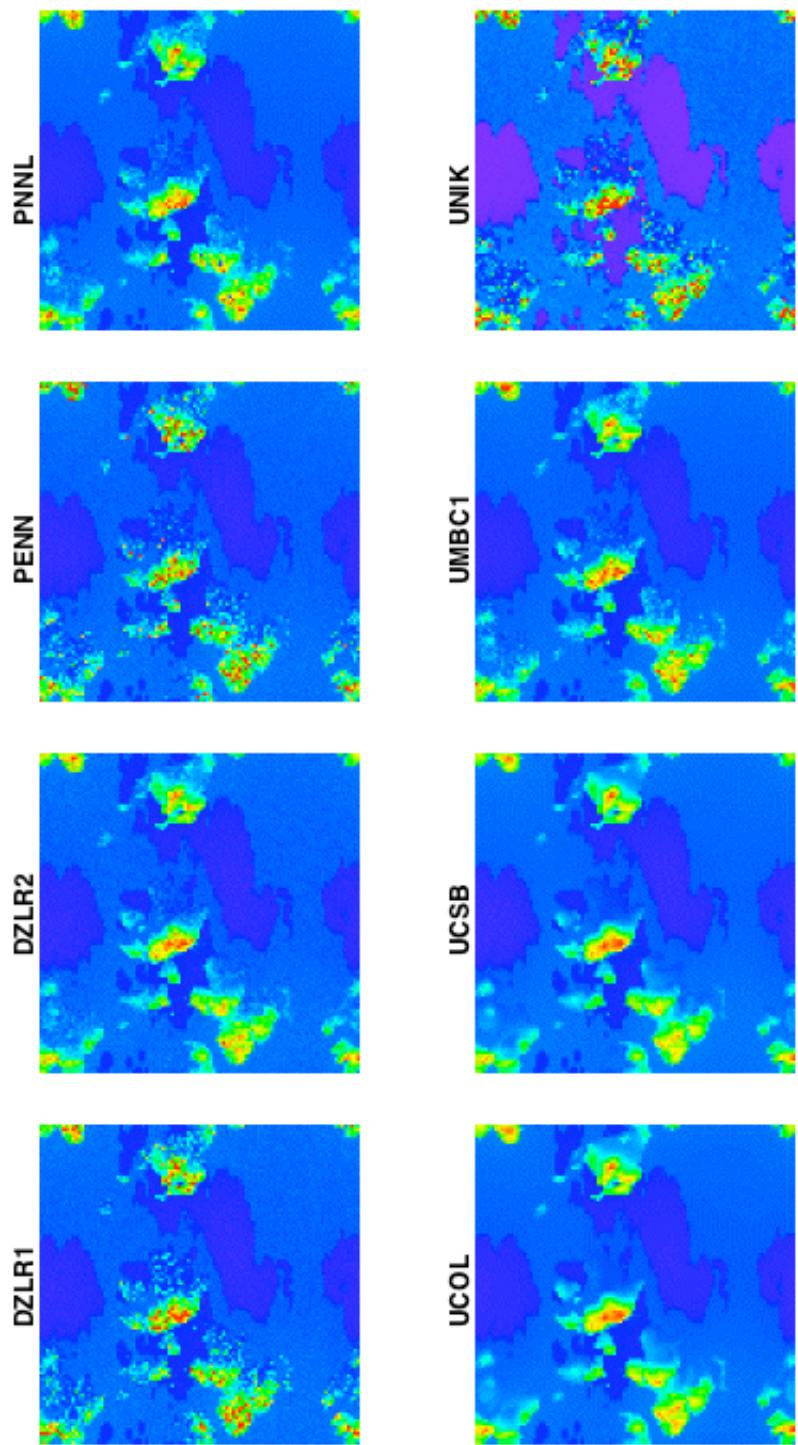
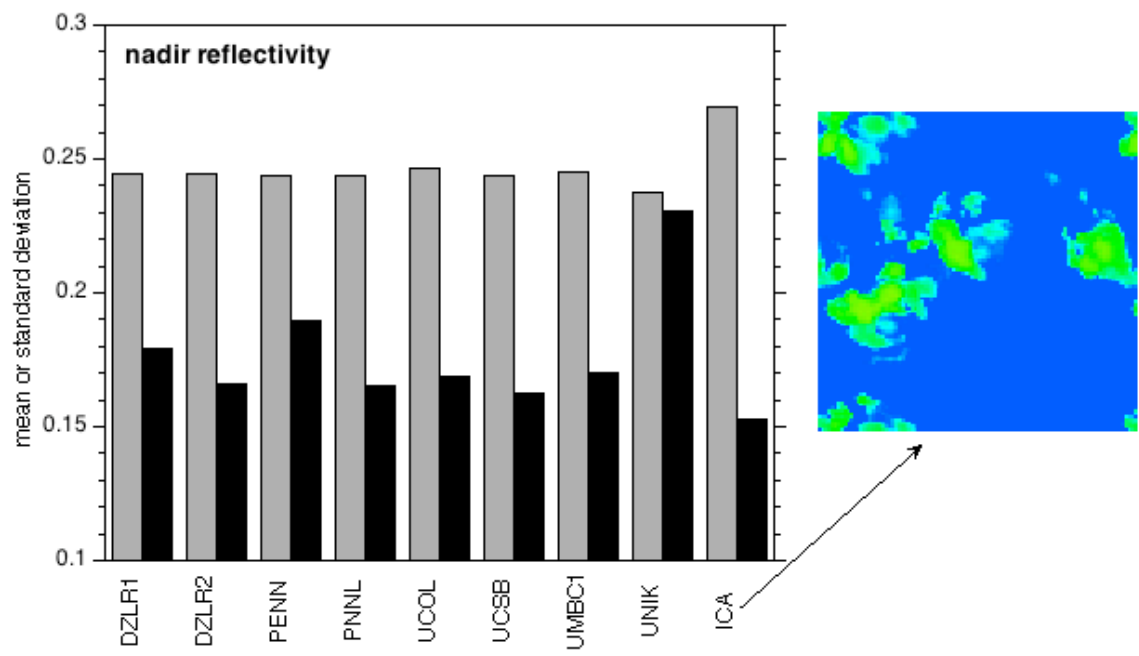


Figure 6



**Figure 7**



Summary Statistics

**Experiment**

- ☒ Solar Zenith=0, SSAlbedo=1
- ☐ Solar Zenith=60, SSAlbedo=1
- ☐ Solar Zenith=0, SSAlbedo=0.99
- ☐ Solar Zenith=60, SSAlbedo=0.99
- ☐ Solar Zenith=60, SSAlbedo=1, sfcAlbedo=0.4, Phasefunc=HG
- ☐ Solar Zenith=0, SSAlbedo=1, sfcAlbedo=0, Phasefunc=C1
- ☐ Solar Zenith=60, SSAlbedo=1, sfcAlbedo=0, Phasefunc=C1
- ☐ Solar Zenith=60, SSAlbedo=1, sfcAlbedo=0.4, Phasefunc=C1

**Variable**

- ☒ Reflectance (R)
- ☐ Transmittance (T)
- ☐ Absorptance (A)
- ☐ Net Horizontal Flux (H)
- ☐ Nadir Reflectivity (Iu)
- ☐ Reflectivity, View=60, Azi=0 (I601)
- ☐ Reflectivity, View=60, Azi=180 (I602)
- ☐ Zenith Transmissivity (Id)

**Statistic**

- ☒ Mean
- ☐ Standard Deviation
- ☐ Skewness

**Case**

- ☐ Case 1
- ☒ Case 2
- ☐ Case 3

**Value used to determine outliers**

- ☐ none
- ☐ 1 sigma
- ☒ 2 sigma
- ☐ 3 sigma

**Orientation of X-axis Labels**

- ☐ Vertical X-axis Labels
- ☒ Horizontal X-axis Labels

**Inclusion/Exclusion of approximate methods**

- ☐ Include the approximate methods in the calculations
- ☒ Exclude the approximate methods in the calculations

**Sort/Unsort output values**

- ☒ Do not sort values on graph
- ☐ Sort values on graph from lowest to highest

**Image Legend**

NonOutlier, NonApproxMeth   NonOutlier, ApproxMeth   Outlier, NonApproxMeth   Outlier, ApproxMeth

Participants with missing values are labeled red

To zoom in: click left mouse button and drag toward lower right

To zoom out: click left mouse button and drag toward upper right

Create Image   Create Table   Dump image to EPS File   Dump table to an HTML File

Misc Statistics (RMS, CC, MAD)

**Experiment**

- ☒ Solar Zenith=0, SSAlbedo=1
- ☐ Solar Zenith=60, SSAlbedo=1
- ☐ Solar Zenith=0, SSAlbedo=0.99
- ☐ Solar Zenith=60, SSAlbedo=0.99
- ☐ Solar Zenith=60, SSAlbedo=1, sfcAlbedo=0.4, Phasefunc=HG
- ☐ Solar Zenith=0, SSAlbedo=1, sfcAlbedo=0, Phasefunc=C1
- ☐ Solar Zenith=60, SSAlbedo=1, sfcAlbedo=0, Phasefunc=C1
- ☐ Solar Zenith=60, SSAlbedo=1, sfcAlbedo=0.4, Phasefunc=C1

**Variable**

- ☒ Reflectance (R)
- ☐ Transmittance (T)
- ☐ Absorptance (A)
- ☐ Net Horizontal Flux (H)
- ☐ Nadir Reflectivity (Iu)
- ☐ Reflectivity, View=60, Azi=0 (I601)
- ☐ Reflectivity, View=60, Azi=180 (I602)
- ☐ Zenith Transmissivity (Id)

Completion Status Calculating Stats

**Image Legend**

NonApproxMeth   ApproxMeth

Participants with missing values are labeled red

To zoom in: click left mouse button and drag toward lower right

To zoom out: click left mouse button and drag toward upper right

**The Participant to make the calculations with respect to**

- ☐ ARIZ
- ☐ KIAE1
- ☐ LANL2
- ☐ MES2
- ☐ PNNL
- ☐ UCSB
- ☐ UMBC3
- ☐ CONMEAN
- ☐ COLS
- ☐ KIAE2
- ☐ LANL3
- ☐ NCAR
- ☐ UCOL1
- ☒ UMBC1
- ☐ UMBC4
- ☐ CONMEAN-APP
- ☐ IAOT
- ☐ LANL1
- ☐ MESC1
- ☐ PENN
- ☐ UCOL2
- ☐ UMBC2
- ☐ UNIK

**Case**

- ☐ Case 1
- ☒ Case 2
- ☐ Case 3

**Statistic**

- ☒ Root Mean Square
- ☐ Cross Correlations
- ☐ Median of Abs. Deviation

**Sort/Unsort output values**

- ☒ Do not sort values on graph
- ☐ Sort values on graph from lowest to highest

**Orientation of X-axis Labels**

- ☐ Vertical X-axis Labels
- ☒ Horizontal X-axis Labels

Create Image   Dump image to EPS File

Figure 8

Phase I fields

Case

CASE 1 CASE 2 CASE 3

Experiment

- ☒ Solar Zenith=0, SSAIbedo=1
- ☐ Solar Zenith=60, SSAIbedo=1
- ☐ Solar Zenith=0, SSAIbedo=0.99
- ☐ Solar Zenith=60, SSAIbedo=0.99
- ☐ Solar Zenith=60, SSAIbedo=1, sfcAlbedo=0.4, Phasefunc=HG
- ☐ Solar Zenith=0, SSAIbedo=1, sfcAlbedo=0, Phasefunc=C1
- ☐ Solar Zenith=60, SSAIbedo=1, sfcAlbedo=0, Phasefunc=C1
- ☐ Solar Zenith=60, SSAIbedo=1, sfcAlbedo=0.4, Phasefunc=C1

Variable

- ☒ Reflectance (R)
- ☐ Transmittance (T)
- ☐ Absorptance (A)
- ☐ Net Horizontal Flux (H)
- ☐ Nadir Reflectivity (Iu)
- ☐ Reflectivity, View=60, Azi=0 (I601)
- ☐ Reflectivity, View=60, Azi=180 (I602)
- ☐ Zenith Transmissivity (Id)

Information

Plot Type X-Y Plot 2D Plot Participants

Completion Status Row 1

Orientation of X-axis Labels

- ☐ Vertical X-axis Labels
- ☒ Horizontal X-axis Labels

Type of Plot

- ☐ Basic Field Plot
- ☒ Difference Field Plot
- ☐ Select Participant to subtract from
- ☐ Select output Participants

Type of CASE3 Display

- ☒ Create a 1D cross section
- ☐ Create a 2D display

Select row/column

- ☒ Extract row
- ☐ Extract col

Select Participants to show on graph

<input type="radio"/> ARIZ	<input type="radio"/> COLS	<input type="radio"/> IAOT
<input type="radio"/> KIAE1	<input type="radio"/> LANL2	<input type="radio"/> MESC1
<input type="radio"/> MESC2	<input type="radio"/> NCAR	<input type="radio"/> PENN
<input type="radio"/> PNNL	<input type="radio"/> UCOL1	<input type="radio"/> UCOL2
<input type="radio"/> UCSB	<input type="radio"/> UMBC1	<input type="radio"/> UMBC2
<input type="radio"/> UMBC3	<input type="radio"/> UNIK	<input type="radio"/> Conmean
<input checked="" type="radio"/> ALL PART.	<input type="radio"/> Conmean-App	<input type="radio"/> Conmean-Out

Create Image Dump image to EPS File

Figure 9